

# Lecture 10 – Graph Algorithms II

AIAA 5037 Advanced Algorithms and Data Structures

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# Outline

- Topological Sort
- Breath-First Search
- Depth-First Search
- Strongly Connected Component
- Single-Source Shortest Path
  - Dynamic Programming for DAG
  - Bellman-Ford Algorithm
  - Dijkstra's Algorithm
  - Application: Difference Constraints Problem

# Topological Sort

# Topological Sort

Given a directed **acyclic** graph  $G = \langle V, E \rangle$ . Find an order  $O = \langle o_1, o_2, \dots, o_V \rangle$  satisfying there does not exist pair  $\langle i, j \rangle$  that  $i < j$  and  $\langle o_j, o_i \rangle \in E$

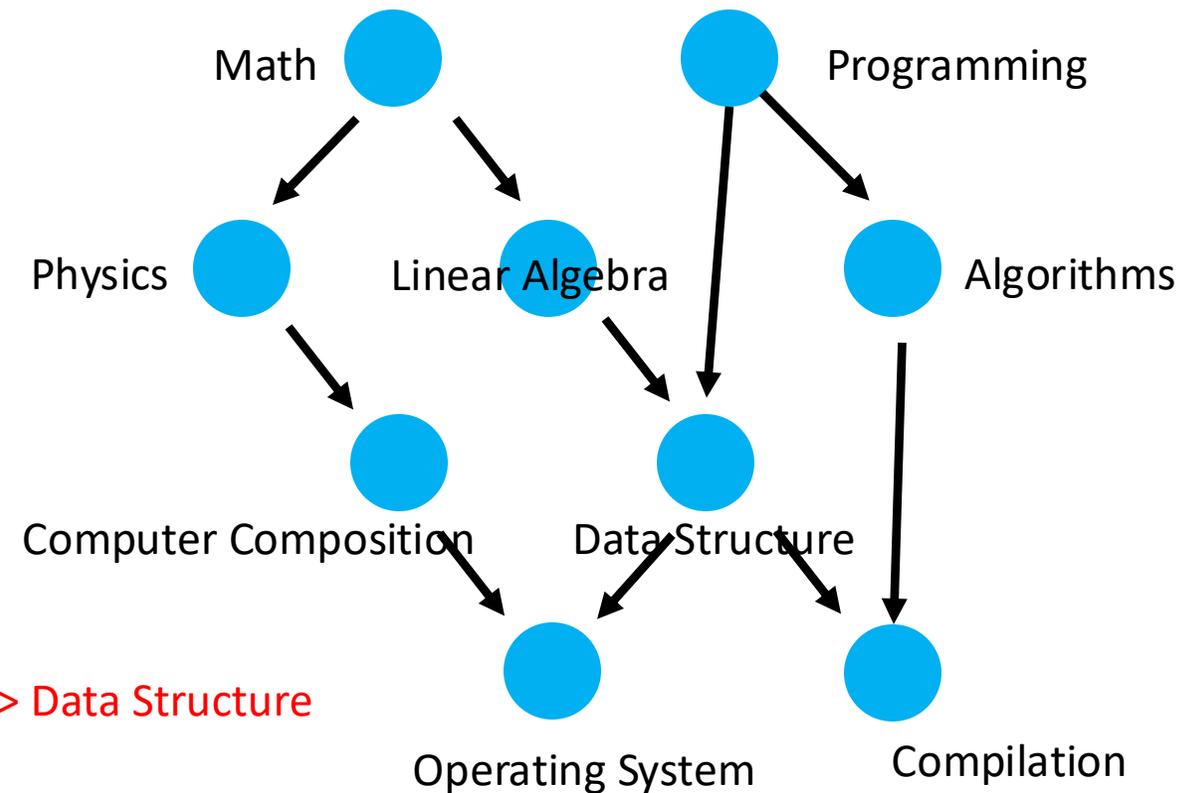
Example:

- Course Selection
- Manufacturing Workflows

## Intuitive understanding

- Find an order of doing things that respect the given pair-wise dependencies

Math > Physics > Programming > Linear Algebra > Algorithms > Data Structure  
> Computer Composition > Operating System > Compilation



## Optimal Substructure

Given a topological sort  $O = \langle o_1, o_2, \dots, o_v \rangle$  for graph  $G = \langle V, E \rangle$ , deleting  $o_1$  generates a topological sort of a graph  $G' = \langle V', E' \rangle$ , where

$$V' = V - \{o_1\},$$

$$E' = \{ \langle u', v' \rangle \mid \langle u', v' \rangle \in E, u' \neq o_1, v' \neq o_1 \}$$

**Proof:** obvious, for the original order, there is no  $\langle i, j \rangle$  that  $i < j$  and  $\langle o_j, o_i \rangle \in E$ , deleting a node does not change of pair-wise relationship of the rest of the nodes

## Recurrence for Optimized Value

Given a topological sort  $O = \langle o_1, o_2, \dots, o_v \rangle$  for graph  $G = \langle V, E \rangle$ , deleting  $o_1$  generates a topological sort of a graph  $G' = \langle V', E' \rangle$ , where

$$V' = V - \{o_1\},$$

$$E' = \{ \langle u', v' \rangle \mid \langle u', v' \rangle \in E, u' \neq o_1, v' \neq o_1 \}$$

**Recurrence:**  $f(G)$  - whether you can find a topological sort for  $G$

$$f(G) = \max_{u \in V} f(g(G, u)) \mathbb{I}\{ |\{ \langle v, u \rangle \mid v \in V - \{u\}, \langle v, u \rangle \in E \}| = 0 \}$$

**Complexity:** exponential subproblems (subgraphs)

**Greedy Intuition:** choose a node that does not have precedents

Is it optimal?

— — For any node without precedents, there exists a topological order starting with it

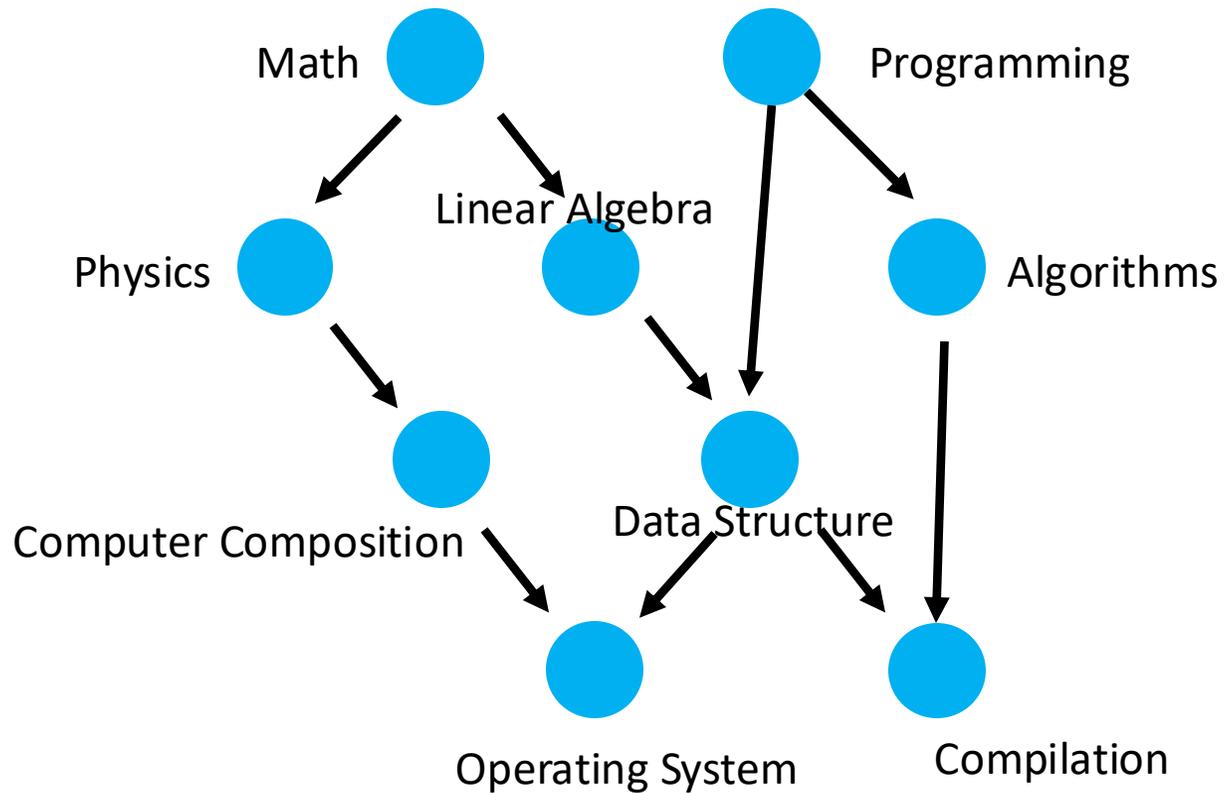
## Greedy Choice Property

For any node ( $u$ ) without precedents, there exists a topological order starting with it

Proof:

- Given any topological sort  $O = \langle o_1, \dots, o_k, u, o_{k+2}, \dots, o_n \rangle$  where  $u$  does not appear as the first,  $O' = \langle u, o_1, \dots, o_k, o_{k+2}, \dots, o_n \rangle$  is a topological sort

# Kahn Algorithm



Math Physics Programming Linear Algebra Algorithms Data Structure Computer Composition Operating System Compilation

# Kahn Algorithm

Greedy delete a node that has no predecessor (in-degree is 0)

1. Record the in-degree of each node
2. Pick the node whose in-degree is 0 (delete and put to the list)
3. Update the in-degree of the successors
4. Repeat 2-3 until all the nodes have been picked

```
deg := each vertices' in-degree
Q := all vertices with in-degree=0
ordering := {}
while Q is not empty:
    u = Q.pop()
    ordering.append(v)
    for  $v \in \{v' \mid \langle u, v' \rangle \in E\}$ :
        deg[v] -= 1
        if deg[v] is 0: push v to Q
```

# Complexity

- Each node will be inserted to the queue once and deleted once –  $O(V)$
- Edges from a node are traversed when it is deleted:  $O(E)$  in total
- Total time complexity:  $O(V + E)$
- Auxiliary Space complexity:  $O(V)$

```
deg := each vertices' in-degree
Q := all vertices with in-degree=0
ordering := {}
while Q is not empty:
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    ordering.append(v)
    for  $v \in \{v' \mid \langle u, v' \rangle \in E\}$ :
        deg[v] -= 1
        if deg[v] is 0: push v to Q
```

## Extension: Cycle Detection

Given a directed graph  $G = \langle V, E \rangle$ , detect whether there is cycle in  $G$

- Example applications: detecting deadlocks in Operating Systems
- Solution: a graph with cycle is non-empty when the topological sort algorithm stops

deg := each vertices' in-degree

Q := all vertices with in-degree=0

while Q is not empty:

  u = Q.pop()

  for  $v \in \{v' \mid \langle u, v' \rangle \in E\}$ :

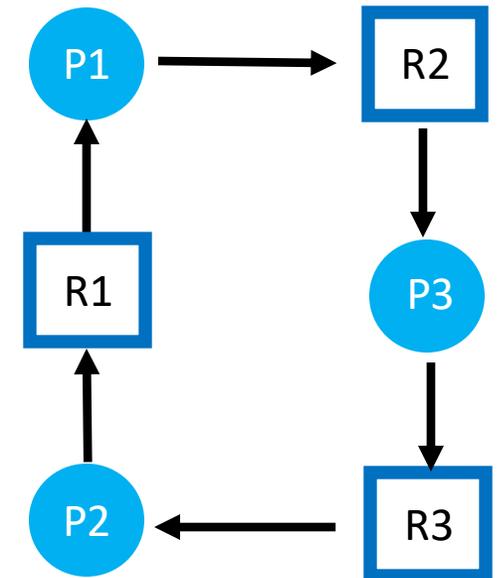
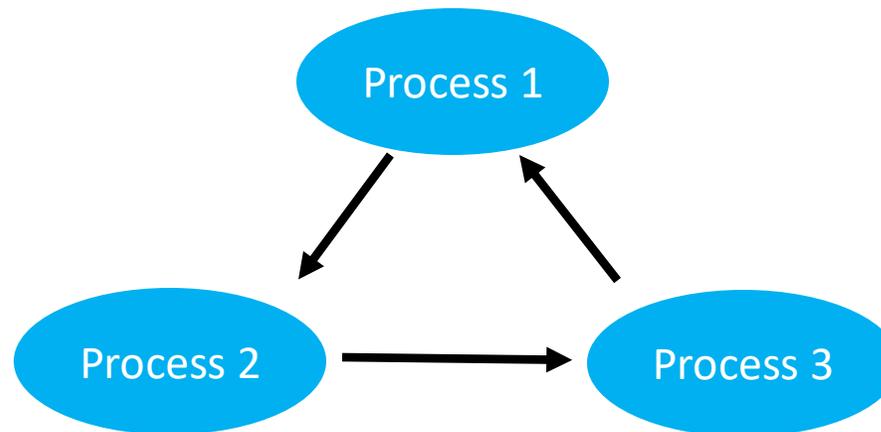
    deg[v] -= 1

    if deg[v] is 0: push v to Q

for v in V:

  if deg[v] != 0: return "has cycle!"

return "no cycle."



Resource Allocation Graph

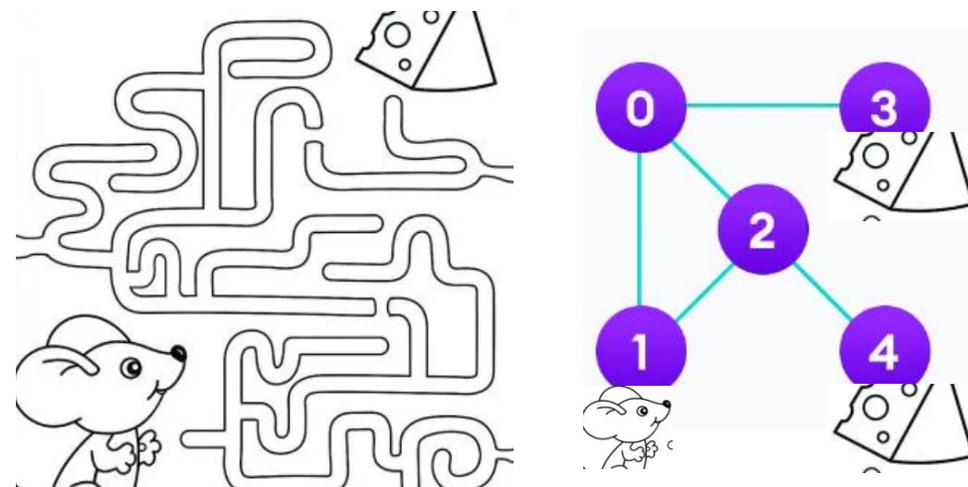
# Graph Search

# Graph Search

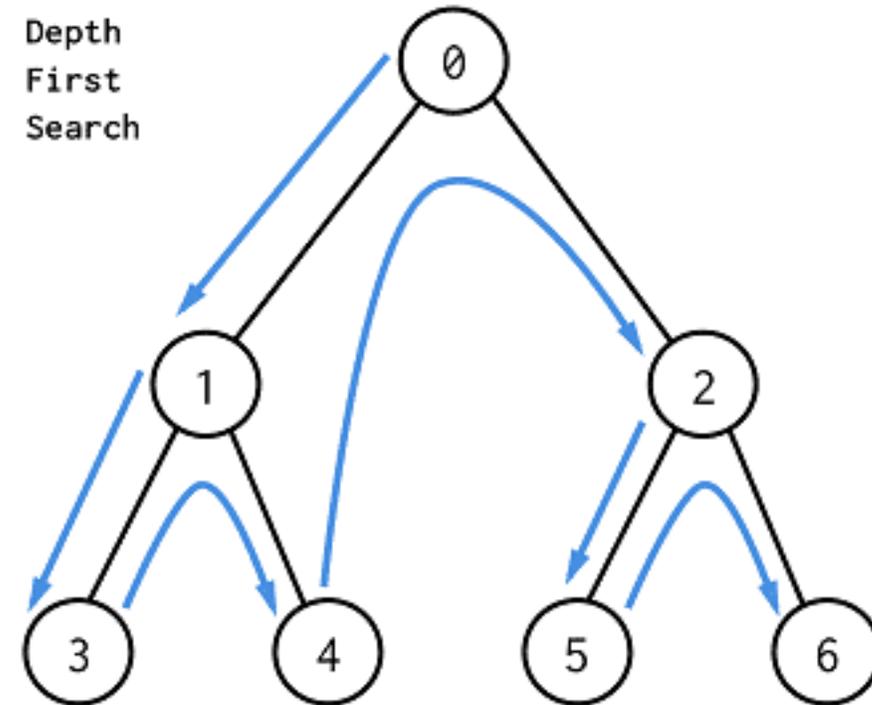
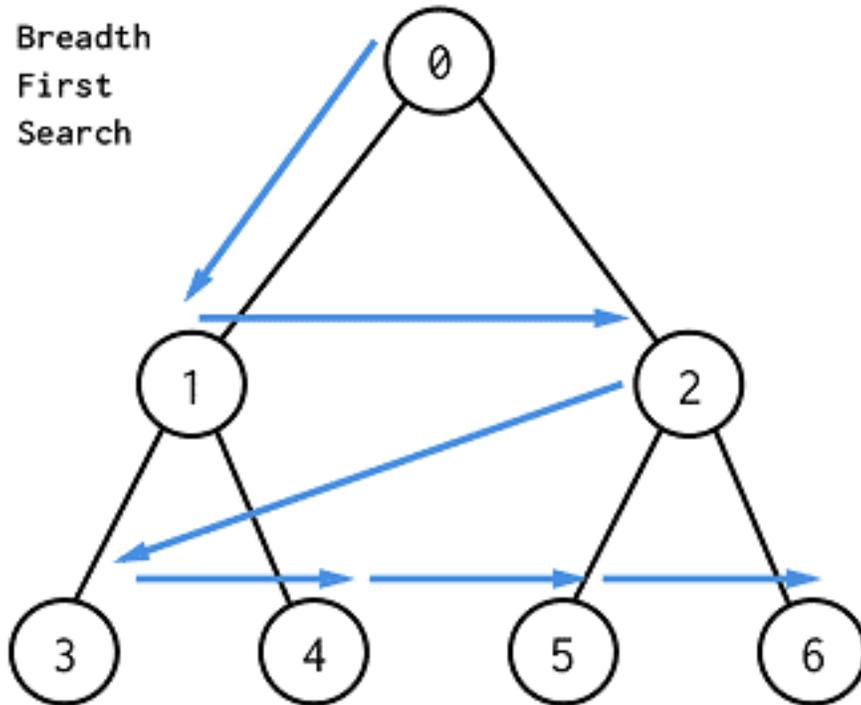
**Problem:** Given a maze as a graph  $G = \langle V, E \rangle$ , where node  $v \in V$  means rooms and edges  $e \in E$  means connection between rooms, some room contain some cheese  $c[v]$ . Given a starting point  $s$  of the mouse, what is the maximum number of cheese the mouse can get?

## Graph search/traversal

- Visit edges and nodes for general discovery or explicit search
- Common in graph algorithms



# Basic Graph Search Algorithms



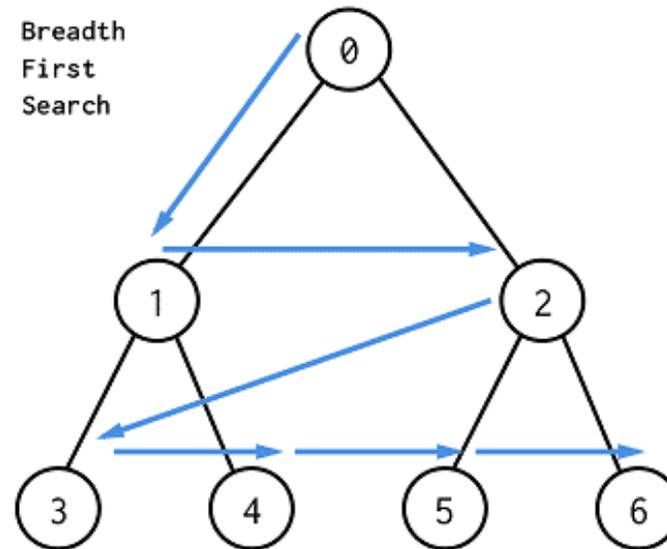
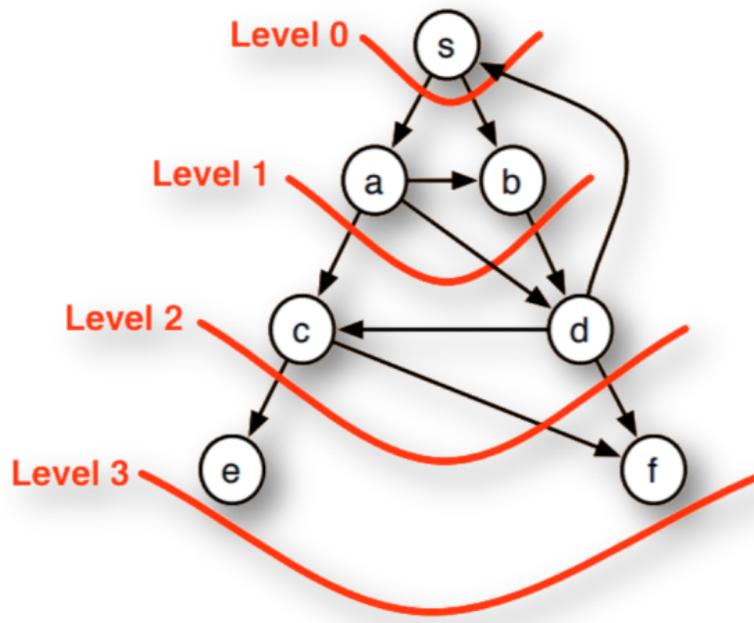
# Breadth-First Search

# Breadth-First Search

Search a graph data structure for a node

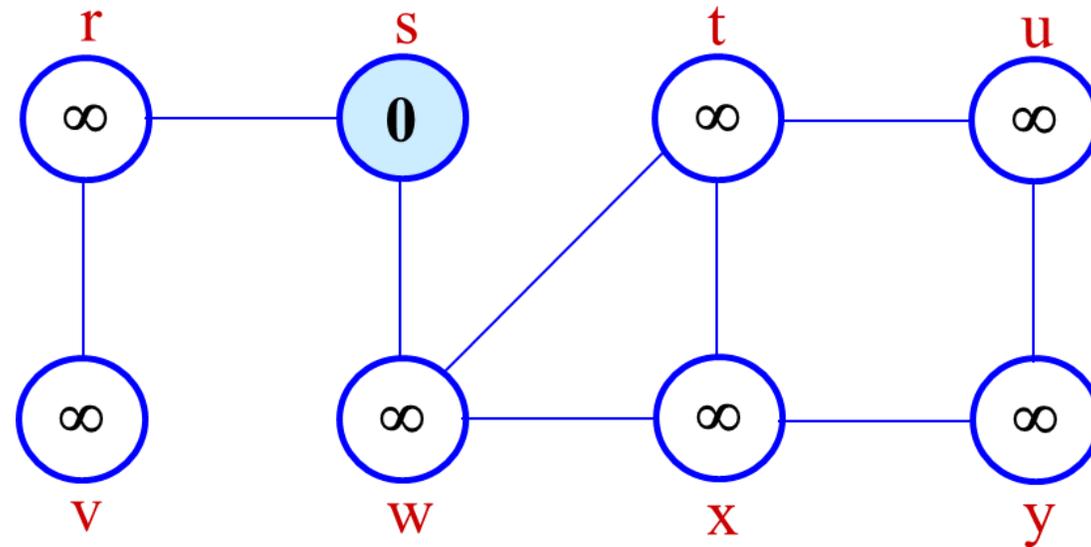
- Starts at the root of the graph
- Breadth-first: finish visiting all nodes you can reach now before moving to the next level

Example: grab the general ideas of various knowledge before going deep into any of them



# Breadth-First Search

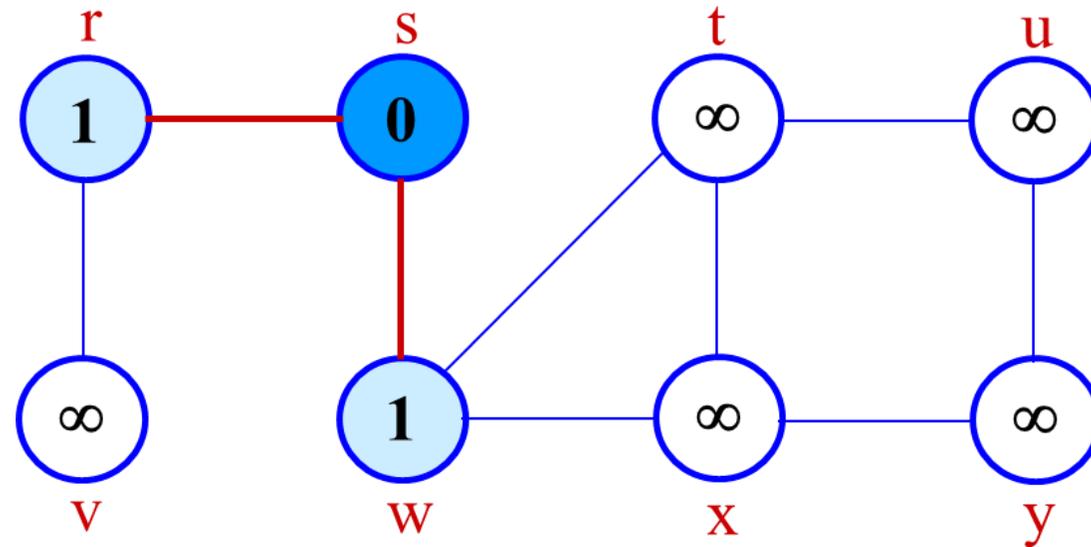
- Track of the nodes that were encountered but not yet explored with a queue
- Nodes that has been visited before are skipped



Q: s  
0

# Breadth-First Search

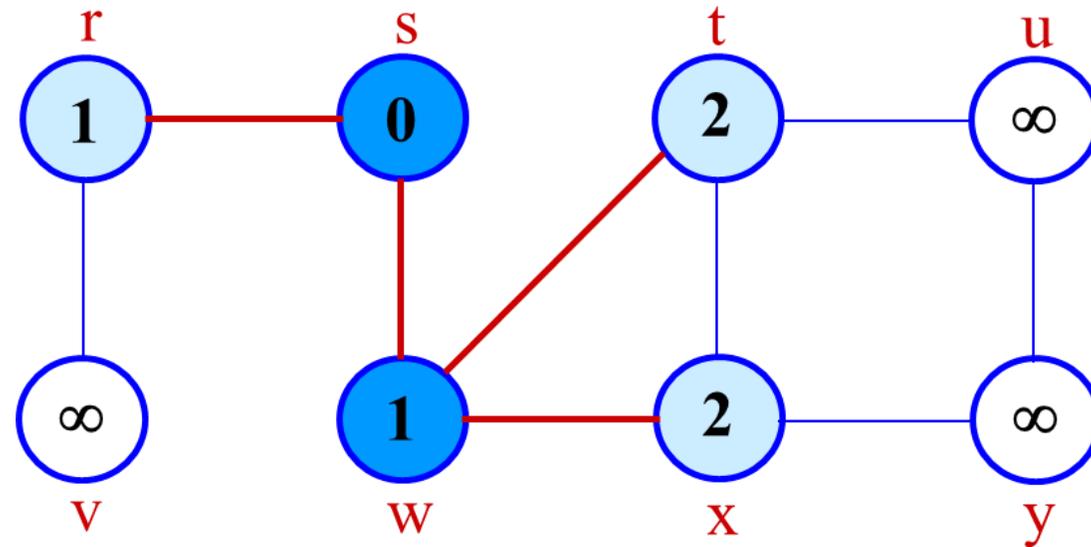
- Track of the child nodes that were encountered but not yet explored with a queue
- Nodes that has been visited before are skipped



Q: w r  
1 1

# Breadth-First Search

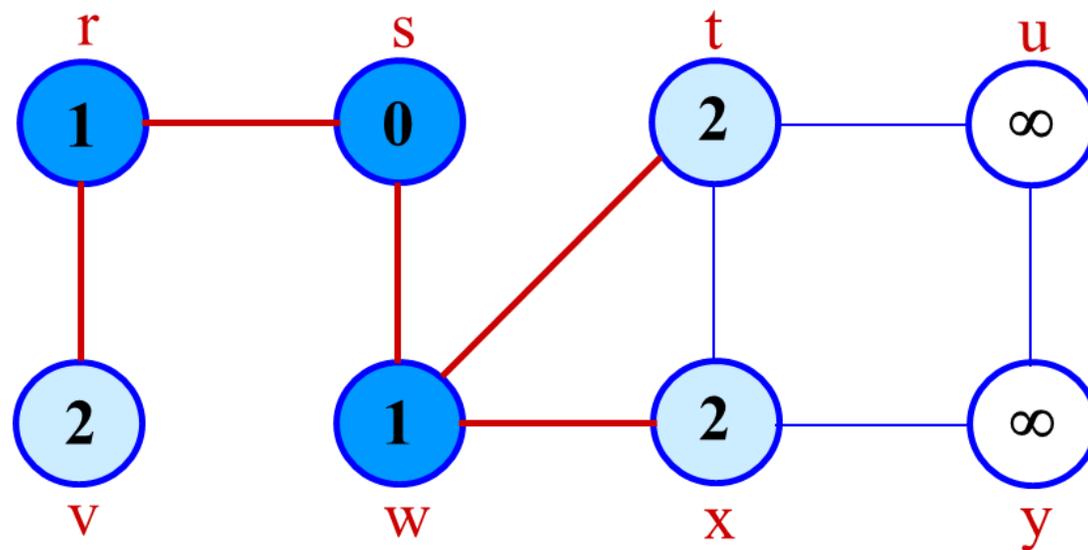
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Q: r t x  
1 2 2

## Breadth-First Search

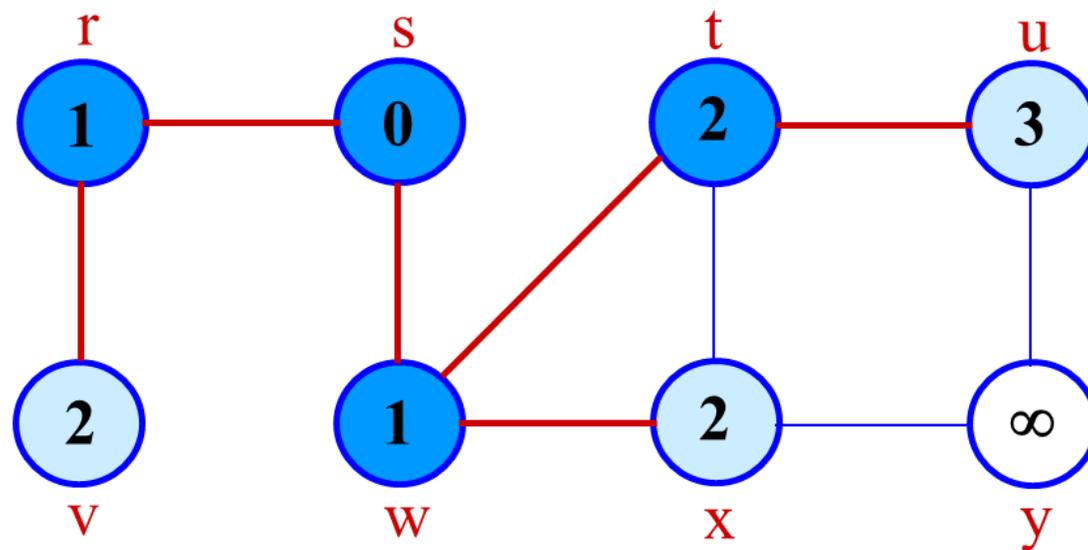
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Q: t x v  
2 2 2

## Breadth-First Search

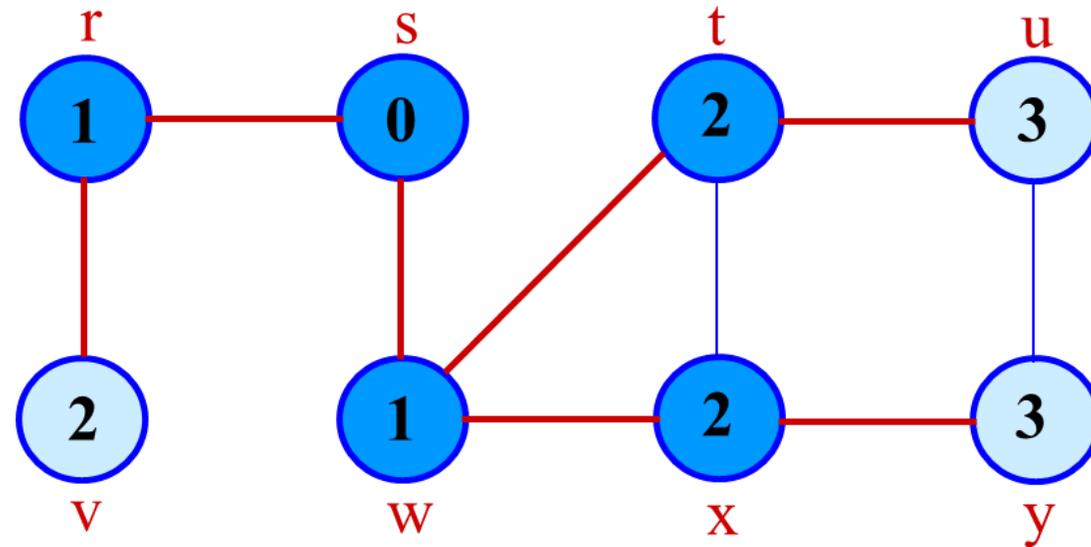
- Track of the child nodes that were encountered but not yet explored with a queue
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Q: x v u  
2 2 3

# Breadth-First Search

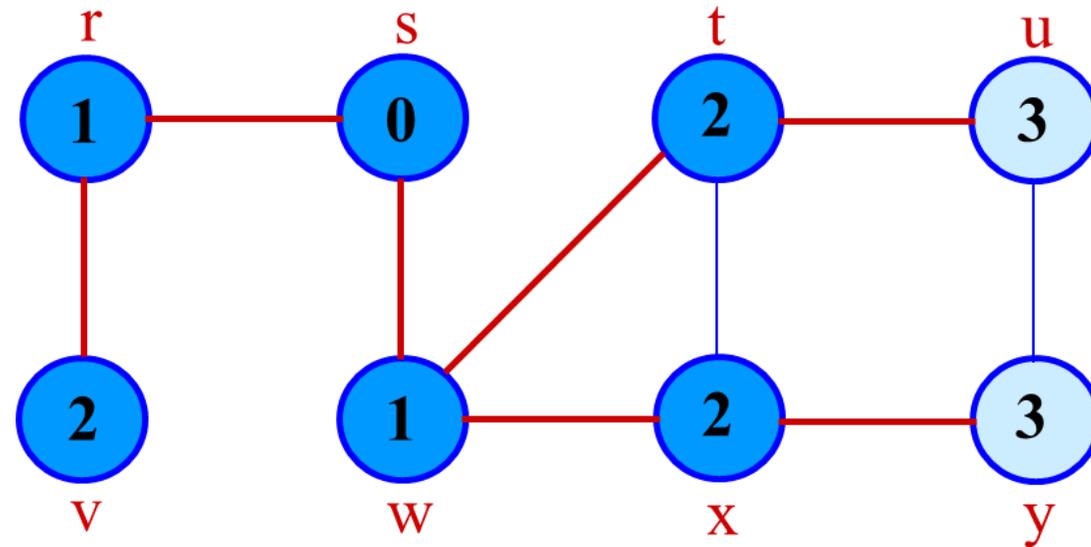
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- Nodes that has been visited before are skipped



Q: v u y  
2 3 3

# Breadth-First Search

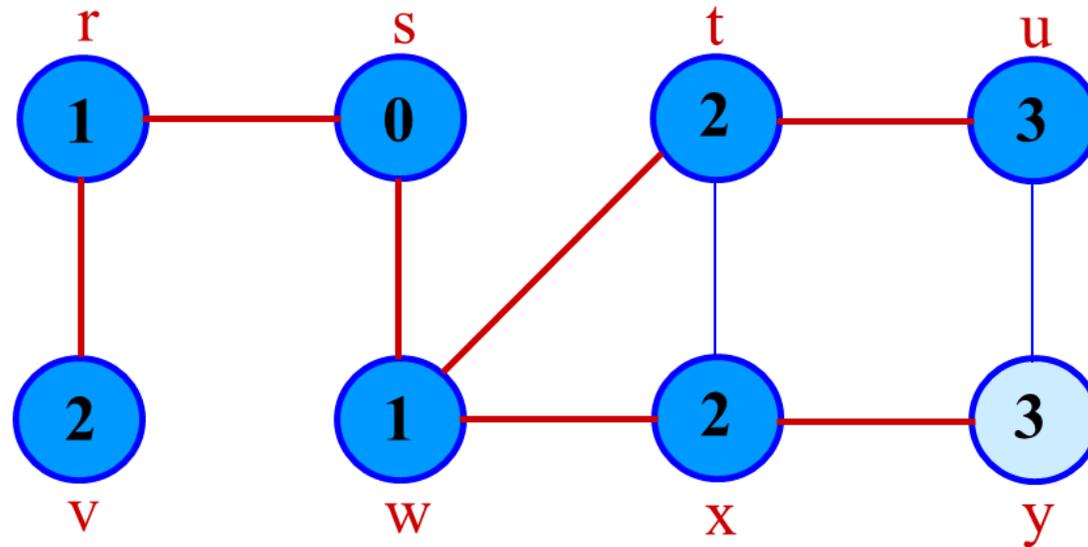
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- Nodes that has been visited before are skipped



Q: u y  
3 3

# Breadth-First Search

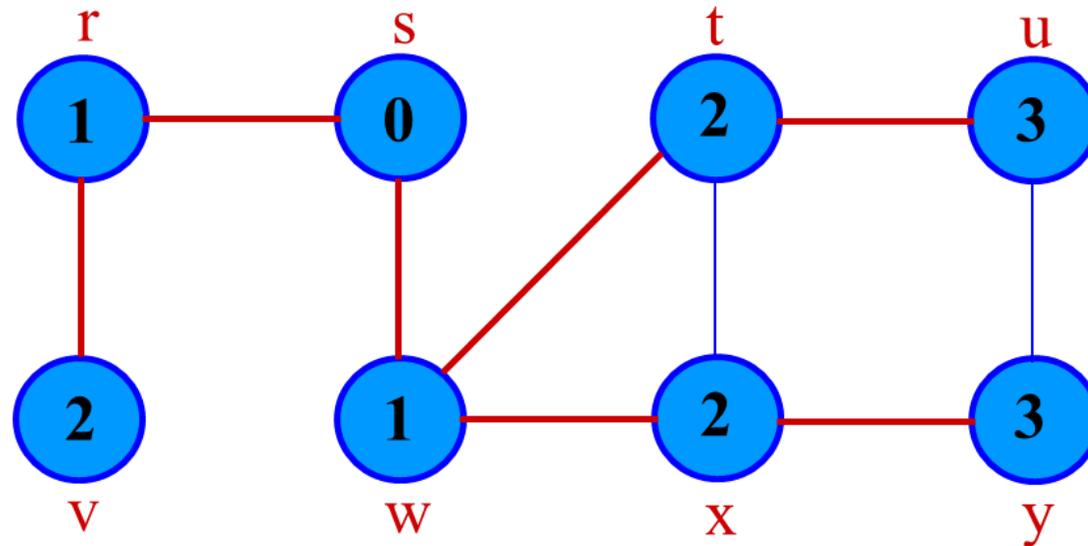
- Track of the child nodes that were encountered but not yet explored with a queue
- Nodes that has been visited before are skipped



Q: y  
3

# Breadth-First Search

- Track of the child nodes that were encountered but not yet explored with a queue
- Nodes that has been visited before are skipped

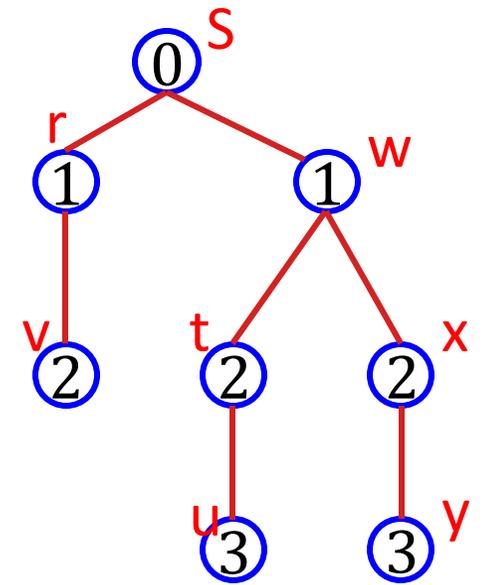
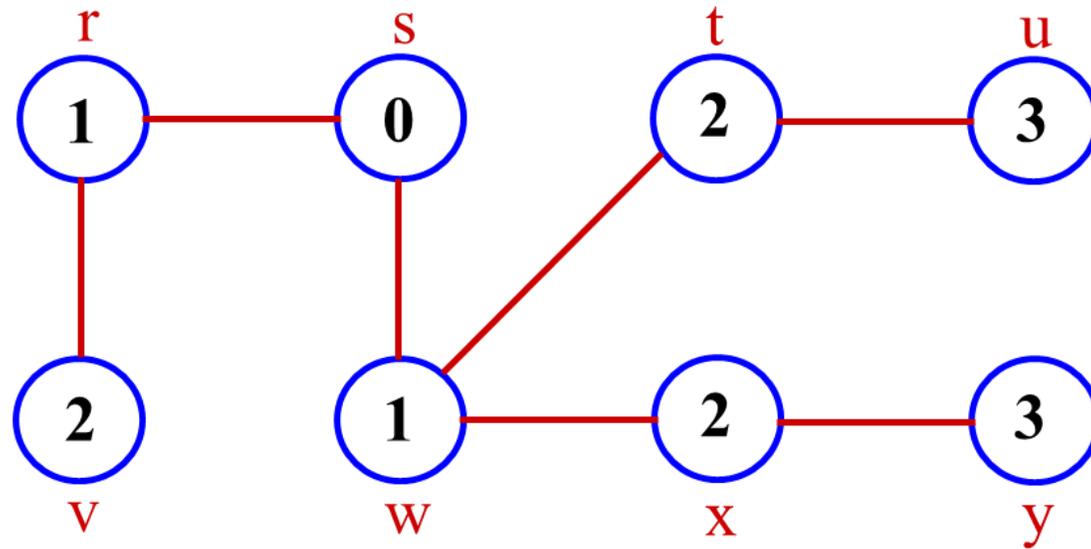


Q:  $\emptyset$

# BFS Tree

An edge  $(u, v)$  is a tree edge if  $v$  is first discovered when traversing edge  $(u, v)$

Tree edges define the BFS tree, contains all reachable vertices



**BF Tree**

# Breadth-First Search

## Notations

- $v.d$ : the depth of  $v$  in the bfs tree
- $v.\pi$ : the parent node of  $v$  in the bfs tree

## Time Complexity

- **Initialization:**  $O(V)$
- **Traversal Loop**
  - **Vertex:** enqueued and dequeued at most once,  $O(V)$
  - **Edge:** Edges from each node traversed once,  $O(E)$
- **Total:**  $O(V + E)$

BFS( $G, s$ )

```
1  for each vertex  $u \in G.V - \{s\}$ 
2       $u.color = \text{WHITE}$ 
3       $u.d = \infty$ 
4       $u.\pi = \text{NIL}$ 
5   $s.color = \text{GRAY}$ 
6   $s.d = 0$ 
7   $s.\pi = \text{NIL}$ 
8   $Q = \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11      $u = \text{DEQUEUE}(Q)$ 
12     for each  $v \in G.Adj[u]$ 
13         if  $v.color == \text{WHITE}$ 
14              $v.color = \text{GRAY}$ 
15              $v.d = u.d + 1$ 
16              $v.\pi = u$ 
17             ENQUEUE( $Q, v$ )
18      $u.color = \text{BLACK}$ 
```

# Breadth-First Search

## Theorem (Correctness of breadth-first search)

Let  $G = (V, E)$  be a directed or undirected graph, and suppose that BFS is run on  $G$  from a given source vertex  $s \in V$ . During its execution, (1) BFS discovers every vertex  $v \in V$  that is reachable from the source  $s$ , and (2) upon termination,  $v.d = \delta(s, v)$ . Moreover, (3) for any vertex  $v \neq s$  that is reachable from  $s$ , one of the shortest paths from  $s$  to  $v$  is a shortest path from  $s$  to  $v.\pi$  followed by the edge  $(v.\pi, v)$ .

## Notations

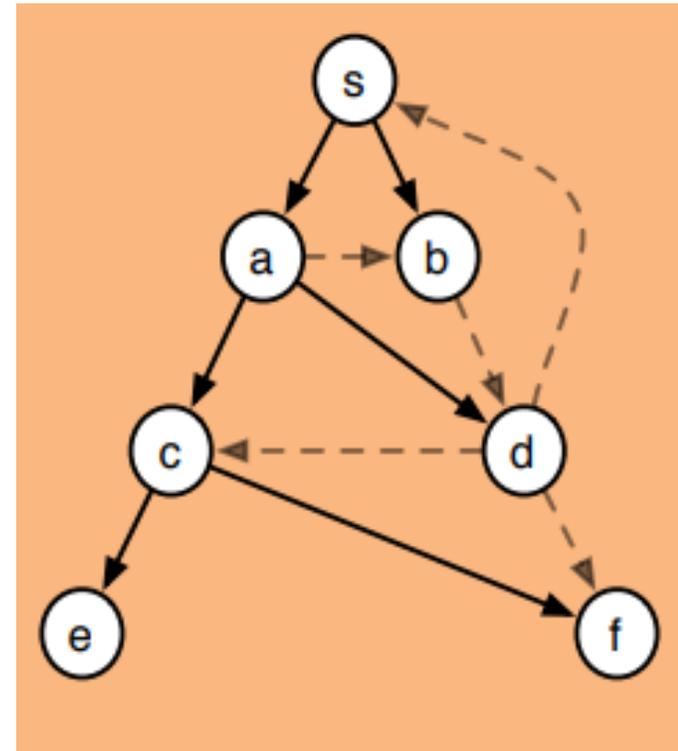
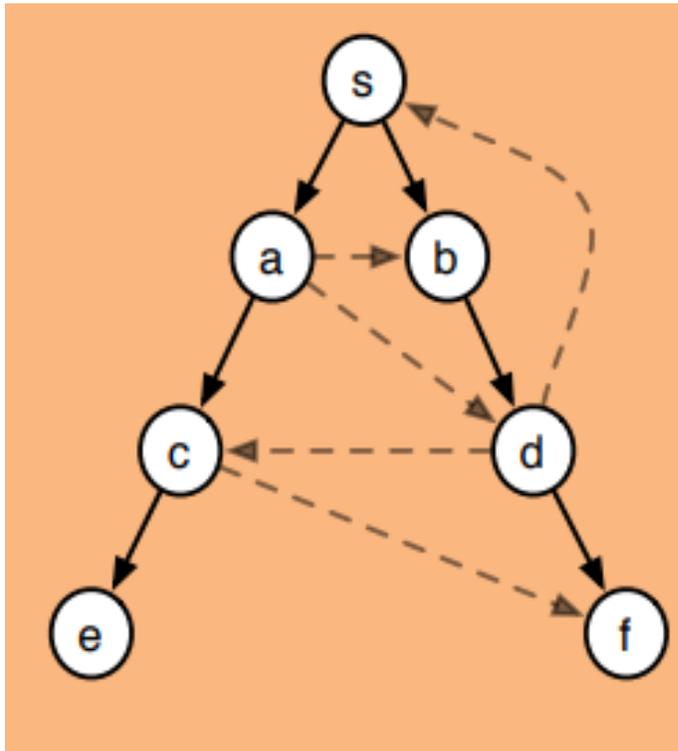
- $\delta(s, v)$ : the shortest path distance from  $s$  to  $v$  (minimum number of edges)
  - $\delta(s, v) = \infty$  if no path exists

Proof: mathematical induction,  $\delta(s, v) = 1$  then  $v.d = 1$ ,  $\delta(s, v) = 2$  then  $v.d = 2$ , ...

## Breadth-First Search

Each path from source to a vertex is the shortest path between them on the graph

**Example:** an undirected graph and two possible BFS trees with distances from s



## Graph Search: Example

Given an array of non-negative integers `arr`, you are initially positioned at start index of the array. When you are at index `i`, you can jump to `i + arr[i]` or `i - arr[i]`, check if you can reach to any index with value 0.

Notice that you can not jump outside of the array at any time.

Example:

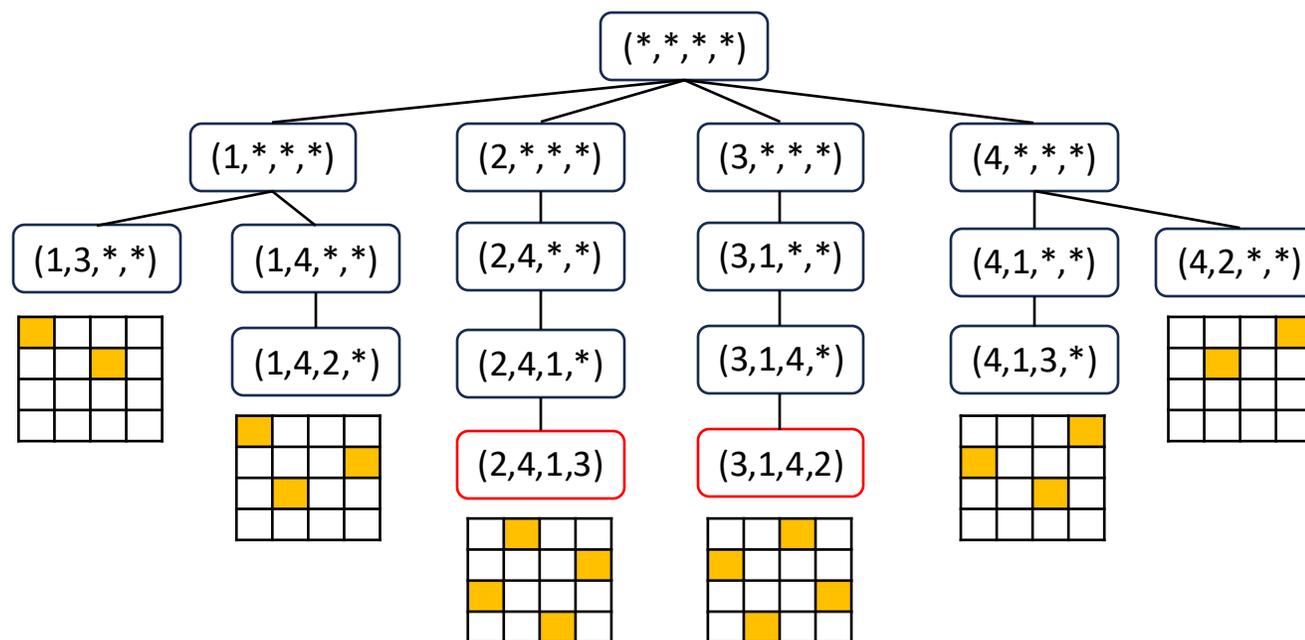
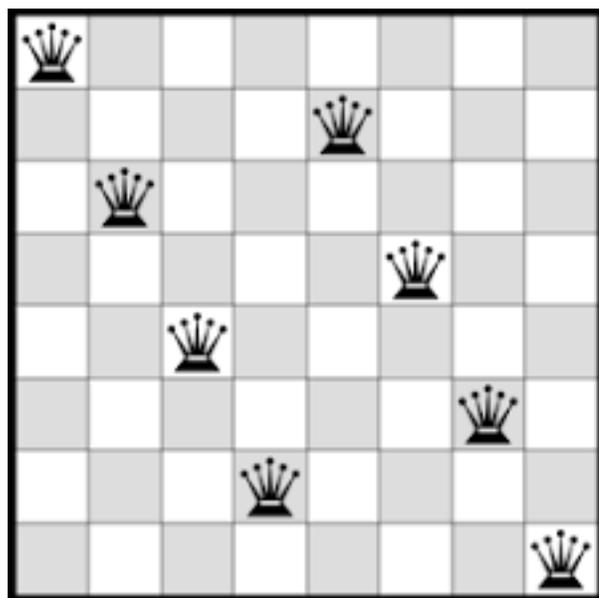
Input: `arr = [4,2,3,0,3,1,2]`, `start = 5`

Output: `true`

Explanation: All possible ways to reach at index 3 with value 0 are: index 5 -> index 4 -> index 1 -> index 3 index 5 -> index 6 -> index 4 -> index 1 -> index 3

# Eight Queens Problem?

- Find all the ways to place eight chess queens on an 8x8 chessboard so that no two queens threaten each other (cannot share the same row, column, or diagonal)
- First posed in the mid-19th century



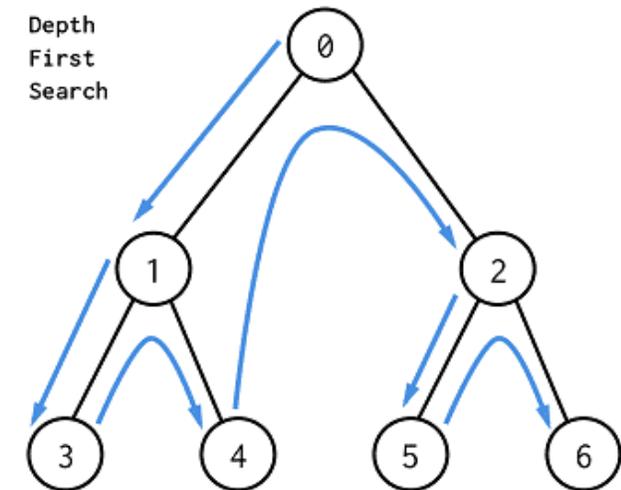
# Depth-First Search

# Depth-First Search

Another strategy for exploring a graph, searches "**deeper**" in the graph whenever possible

- Explore the first unexplored edge from the most recently discovered vertex  $v$
- When all edges of  $v$  have been explored, "backtracks" to the precedent vertex
- Repeat until all vertices reachable from the source are discovered

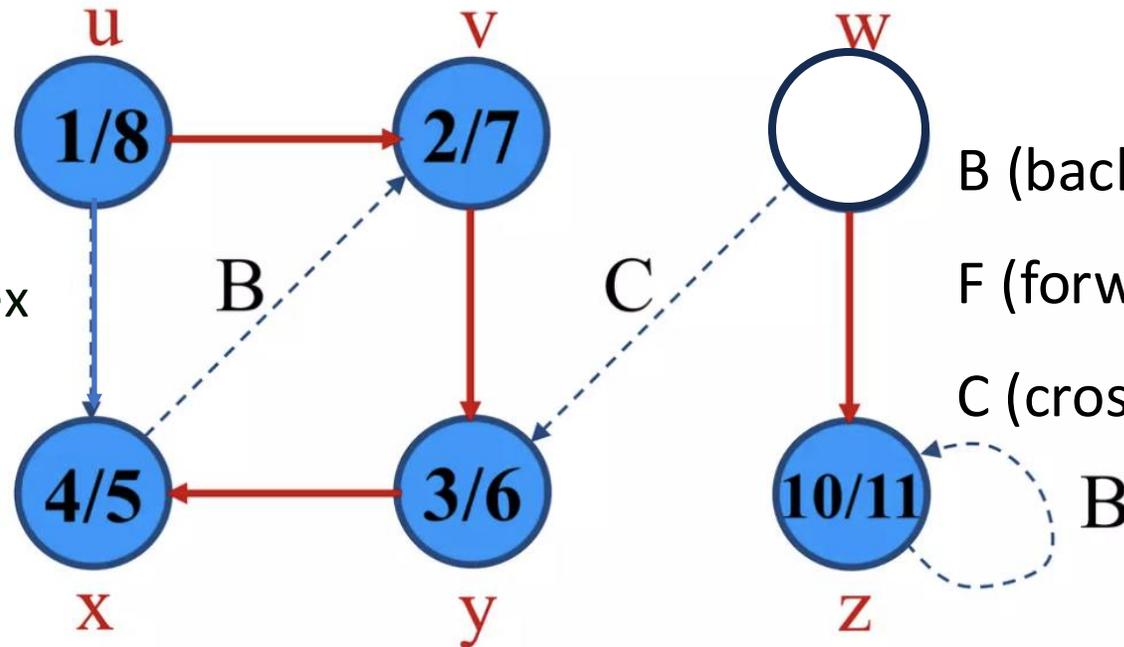
**Exactly backtracking, but on an explicitly defined graph**



# Depth-First Search

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B (back edges): to ancestor

F (forward edges): to descendant

C (cross edges): other cases

B

**DFS numbers:**

Timestamps when the vertex  
is *discovered* and *finished*

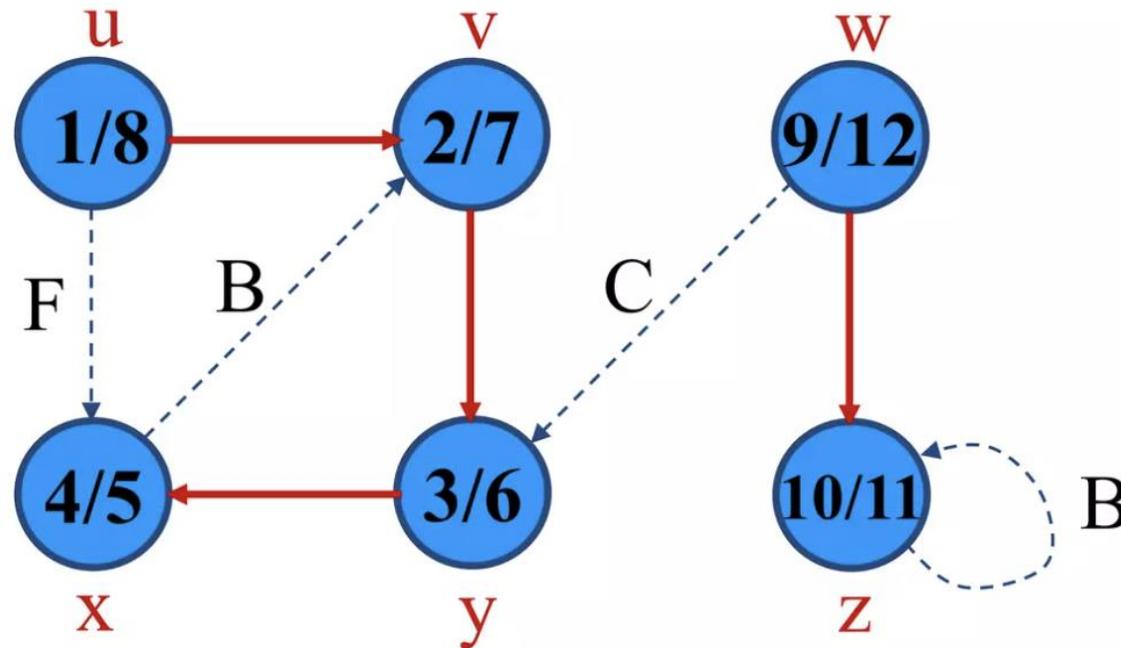
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- Explore the first unexplored edge from the most recently discovered vertex  $v$
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```

DFS(G)
1  for each vertex  $u \in G.V$ 
2     $u.color = WHITE$ 
3     $u.\pi = NIL$ 
4   $time = 0$ 
5  for each vertex  $u \in G.V$ 
6    if  $u.color == WHITE$ 
7      DFS-VISIT( $G, u$ )
    
```

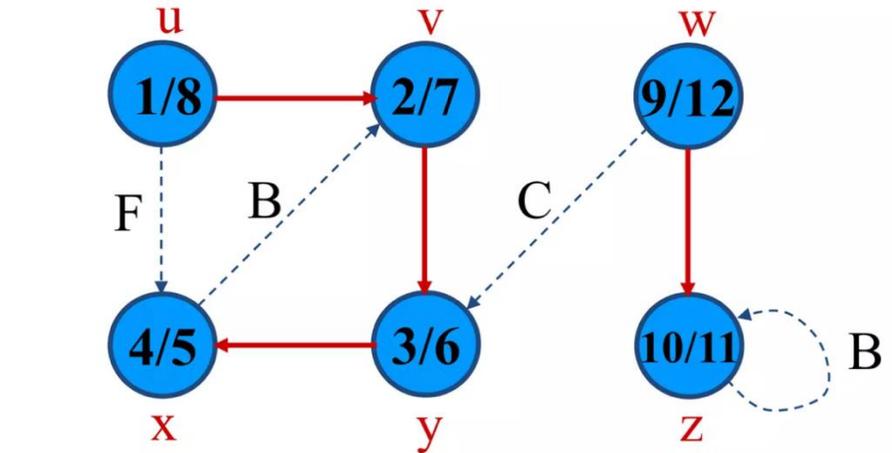
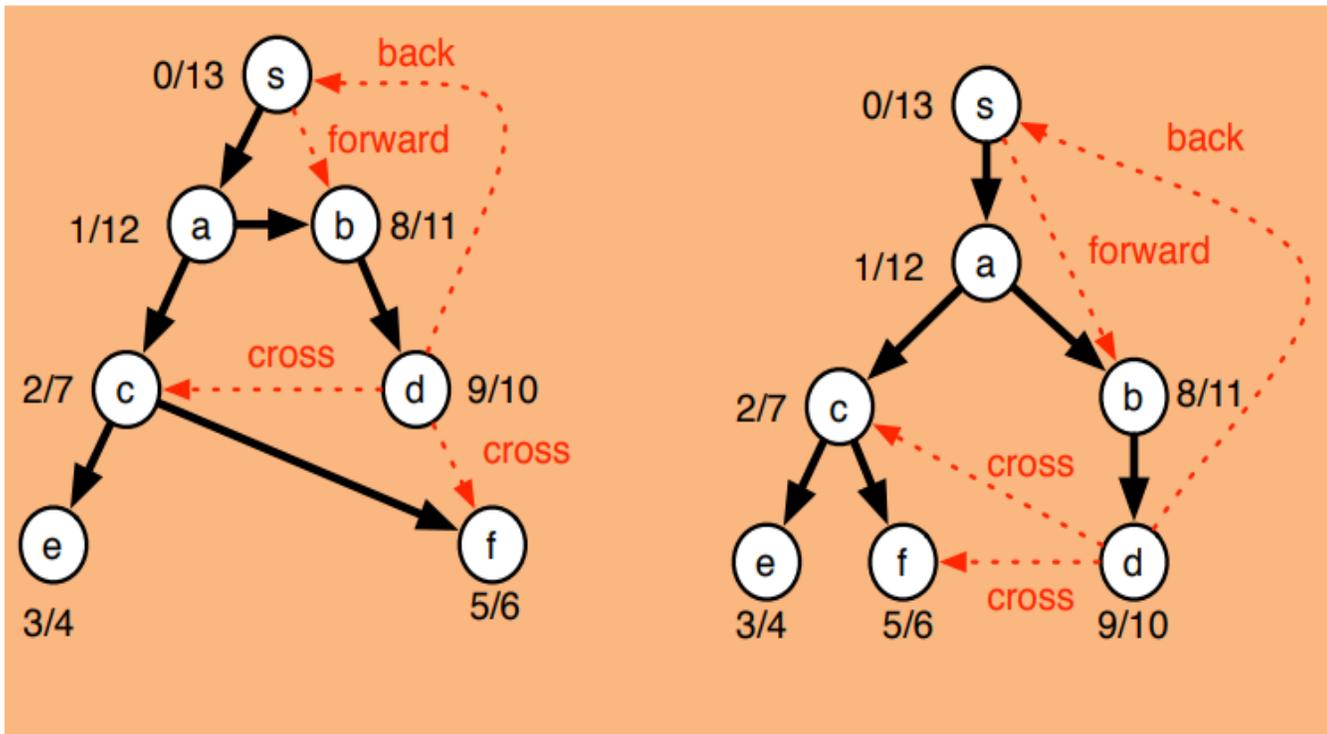


```

DFS-VISIT( $G, u$ )
1   $time = time + 1$ 
2   $u.d = time$ 
3   $u.color = GRAY$ 
4  for each  $v \in G.Adj[u]$ 
5    if  $v.color == WHITE$ 
6       $v.\pi = u$ 
7      DFS-VISIT( $G, v$ )
8   $u.color = BLACK$ 
9   $time = time + 1$ 
10  $u.f = time$ 
    
```

# DFS Tree

- An edge  $(u, v)$  is a tree edge if  $v$  is first discovered when traversing edge  $(u, v)$
- Tree edges define the DFS tree, contains all reachable vertices



B (back edges): point to ancestor

F (forward edges): point to descendant

C (cross edges): other cases

# Time Complexity

- Each vertex is discovered and finished once:  $O(V)$
- Each edge is explored once:  $O(E)$

Total complexity:  $O(V + E)$

DFS( $G$ )

```
1 for each vertex  $u \in G.V$ 
2    $u.color = WHITE$ 
3    $u.\pi = NIL$ 
4  $time = 0$ 
5 for each vertex  $u \in G.V$ 
6   if  $u.color == WHITE$ 
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```

DFS-VISIT( $G, u$ )

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6      $v.\pi = u$ 
7     DFS-VISIT( $G, v$ )
8  $u.color = BLACK$ 
9  $time = time + 1$ 
10  $u.f = time$ 
```

# Parenthesis Theorem

## Theorem 22.7 (Parenthesis Theorem)

In a DFS forest for  $G = (V, E)$ , for any  $u, v$  pair, exactly one of the following holds:

1.  $[u.d, u.f]$  and  $[v.d, v.f]$  are disjoint and neither  $u$  nor  $v$  is a descendant of the other.
2.  $u.d < v.d < v.f < u.f$  and  $v$  is a descendant of  $u$ .
3.  $v.d < u.d < u.f < v.f$  and  $u$  is a descendant of  $v$ .

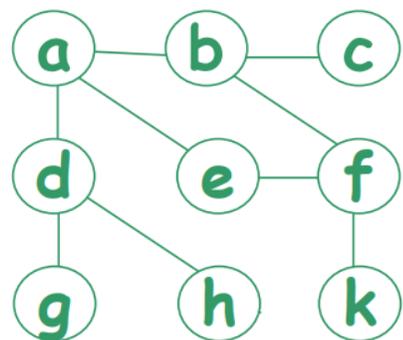
$u.d < v.d < u.f < v.f$  cannot happen

- Like parentheses:
  - OK:  $() [] ([]) [( )]$ ; Not OK:  $( [ ] ) [ ( ] )$

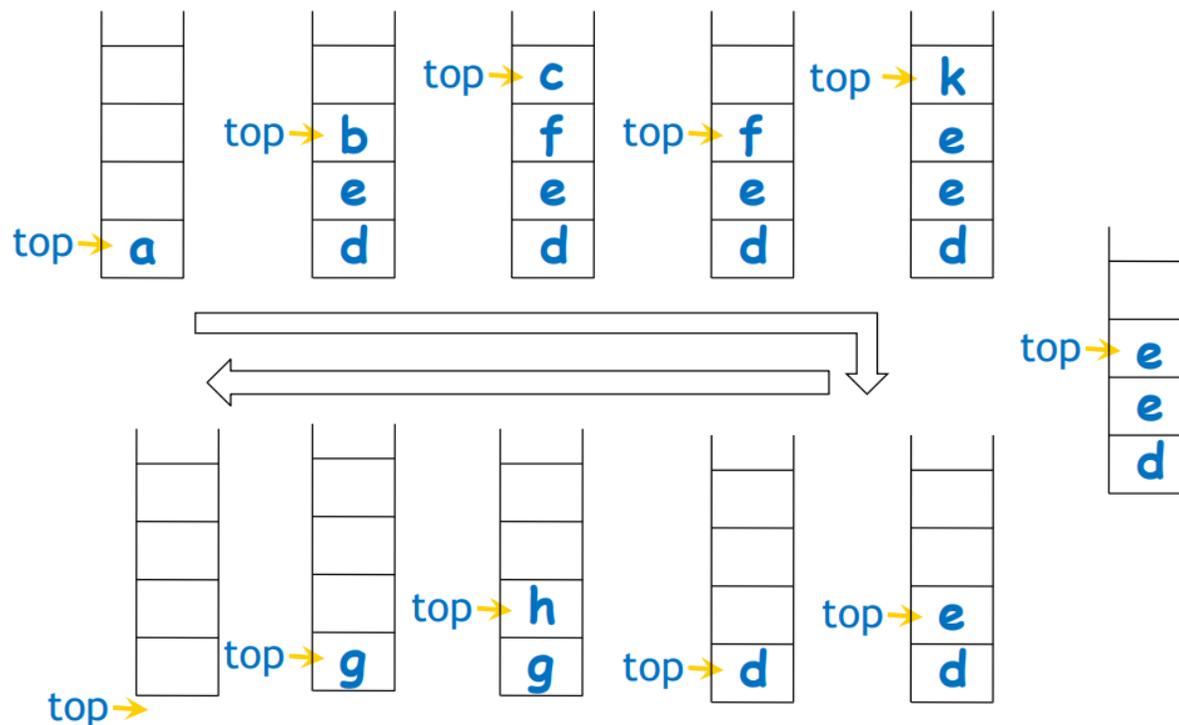
**Corollary (Nesting of descendants' intervals):**  $v$  is a descendant of  $u$  i.f.f  $u.d < v.d < v.f < u.f$

## DFS from a Stack View

- BFS explore nodes following FIFO order (Queue)
- DFS explore nodes following LIFO order (Stack)

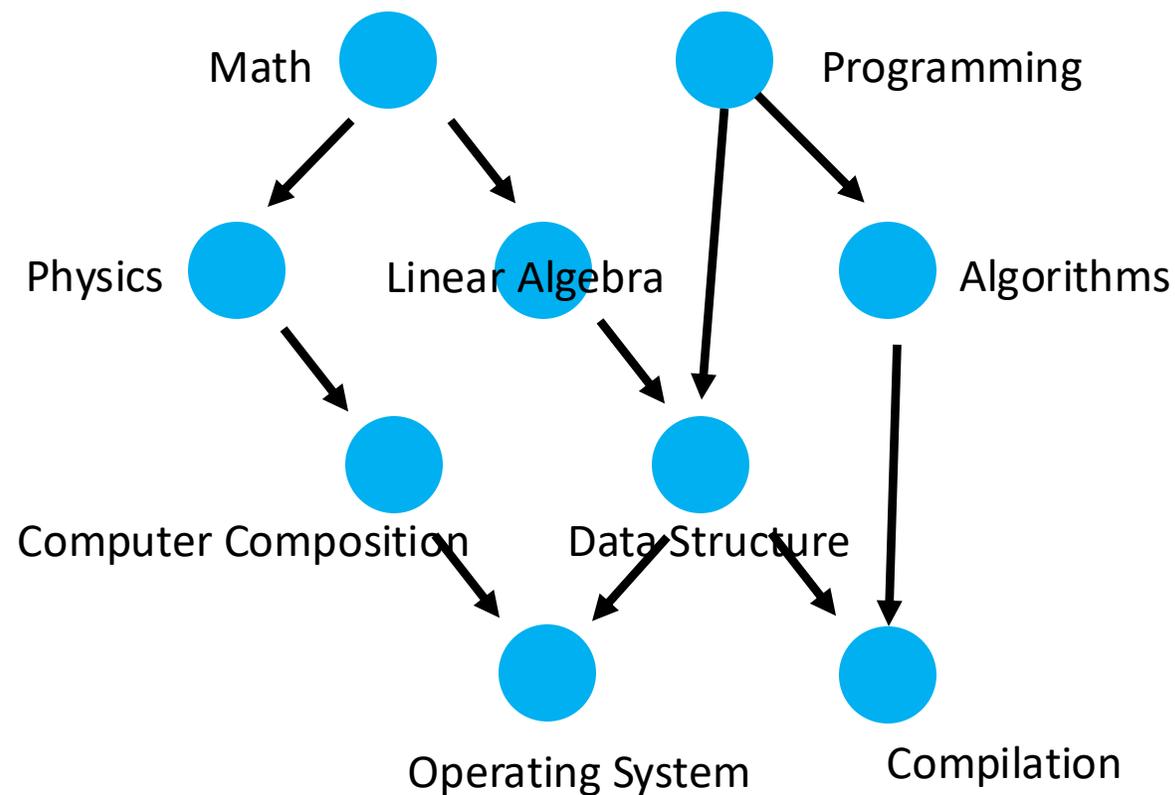


a, b, c, f, k, e, d, h, g



## Topological Sort with DFS?

Given a directed **acyclic** graph  $G = \langle V, E \rangle$ . Find an order  $O = \langle o_1, o_2, \dots, o_V \rangle$  satisfying there does not exist pair  $\langle i, j \rangle$  that  $i < j$  and  $\langle o_j, o_i \rangle \in E$

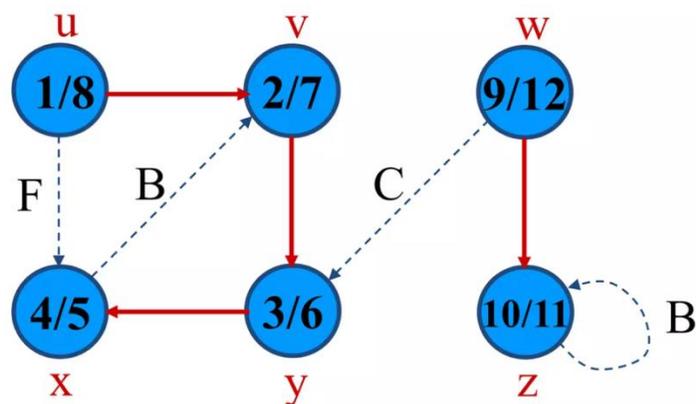


# Topological Sort with DFS

Topological sort can be obtained by sorting  $v$  with decreasing order of  $v.f$

**Proof:**

- In dfs, each vertex cannot finish before all the nodes reachable from it are finished

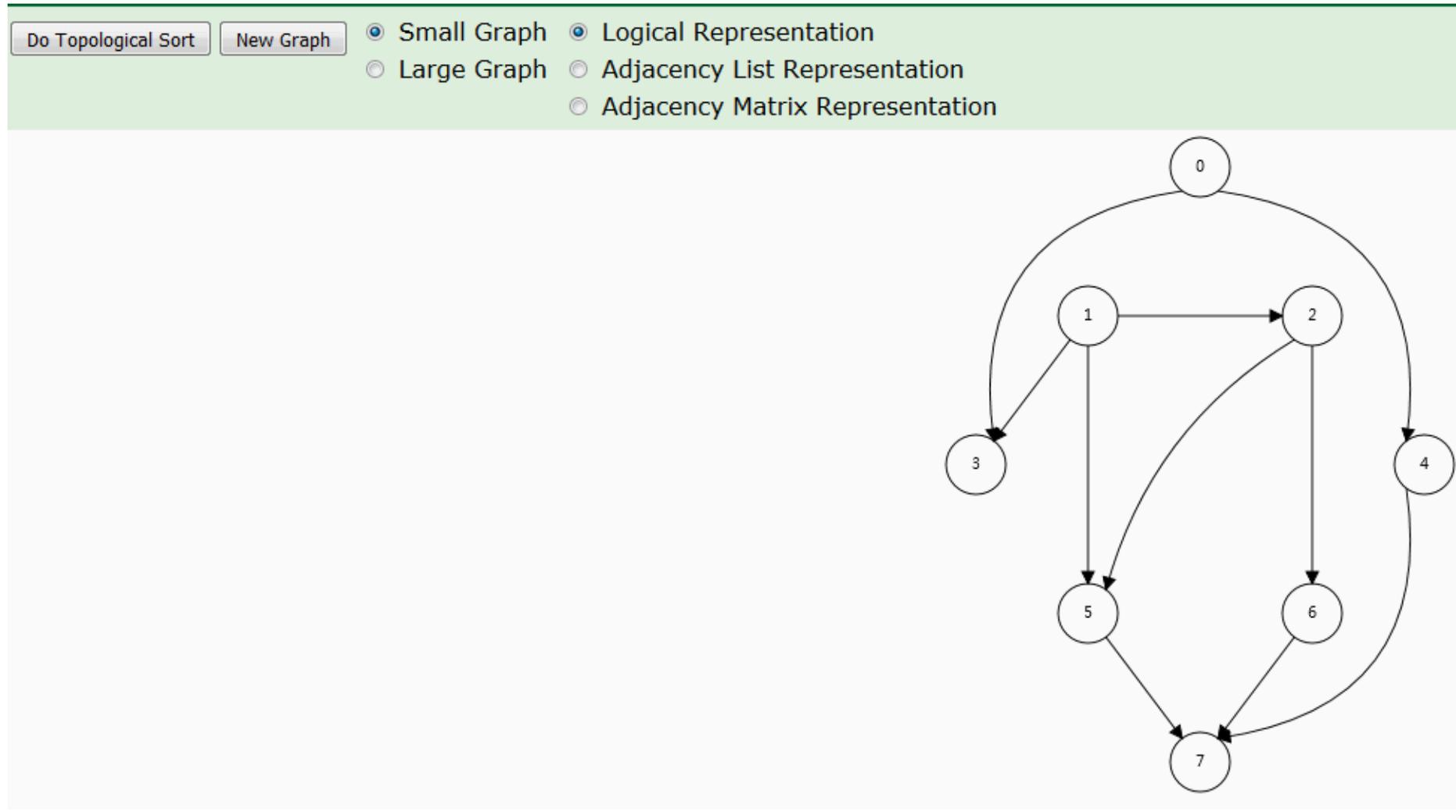


$w \rightarrow z \rightarrow u \rightarrow v \rightarrow y \rightarrow x$

TOPOLOGICAL-SORT( $G$ )

- 1 call DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$
- 2 as each vertex is finished, insert it onto the front of a linked list
- 3 **return** the linked list of vertices

# Topological Sort with DFS



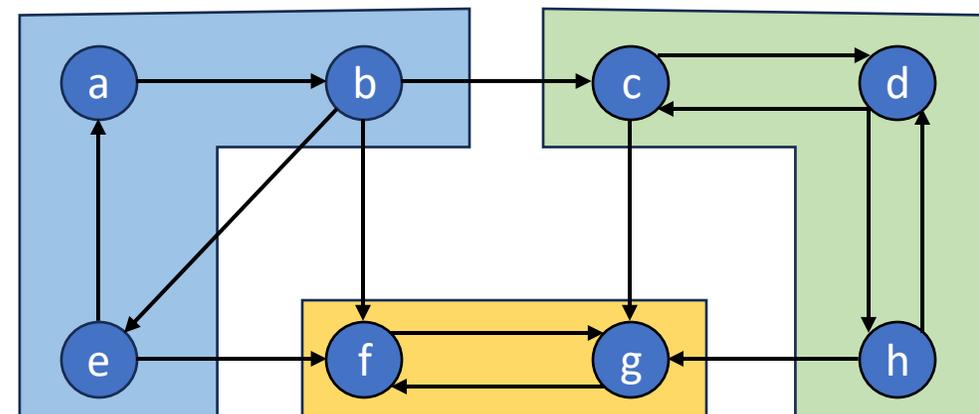
# Strongly Connected Components

# Strongly Connected Components

**Problem:** Given a **directed** graph  $G = (V, E)$ , find the *strongly connected components*.

*Definitions:*

- *Strongly connected subgraph:* every vertex is reachable from every other vertex
- *Strongly connected component:* a maximized (极大) strongly connected subgraph
  - Cannot add more nodes to the subgraph and make it still strongly connected
  - Forms a partition of  $G$  into strongly connected subgraphs



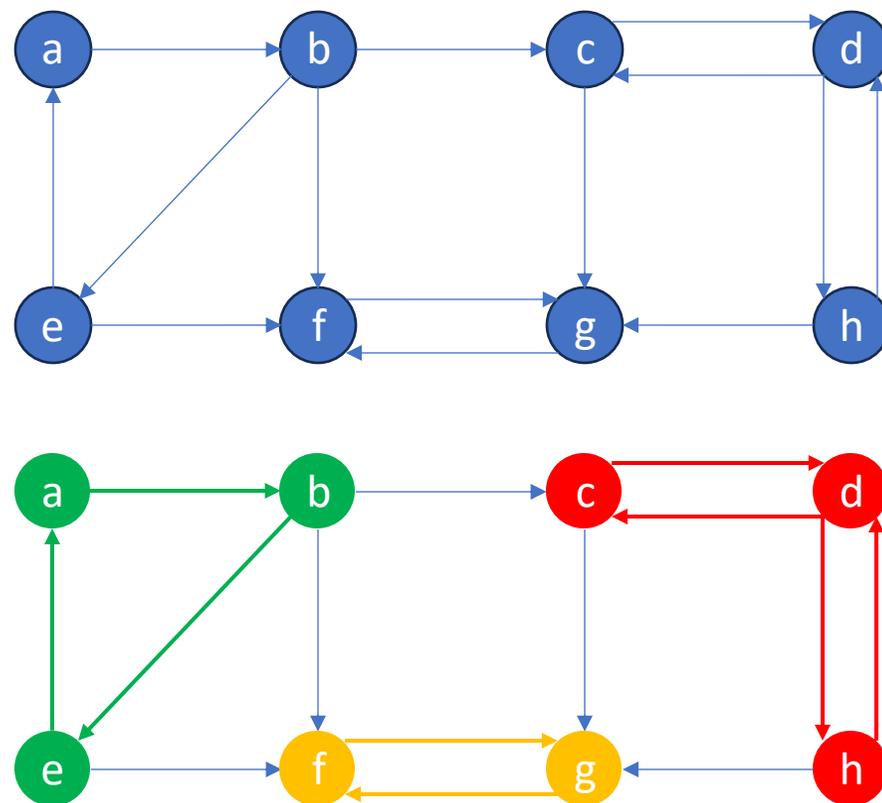
# Strongly Connected Components

**Problem:** given a directed graph  $G = (V, E)$ , find the *strongly connected components*.

Directly adopt DFS?

- $DFS(g)$
- ~~$DFS(f)$~~
- $DFS(h)$
- $DFS(a)$
- ~~$DFS(d)$~~
- ~~$DFS(c)$~~
- ~~$DFS(b)$~~
- ~~$DFS(e)$~~

Seems correct, always correct?



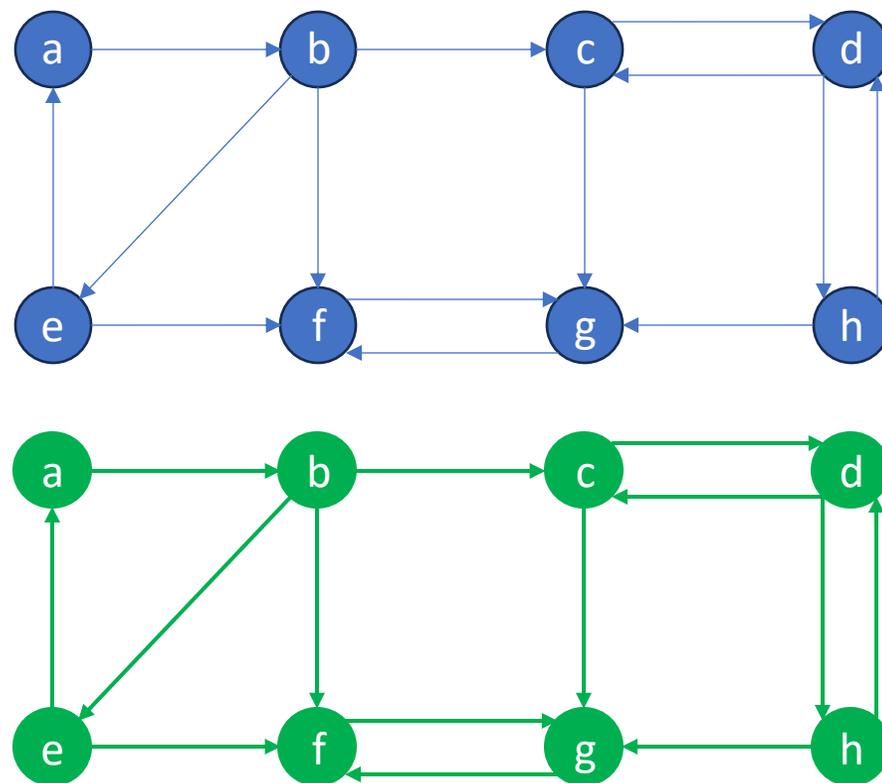
# Strongly Connected Components

**Problem:** given a directed graph  $G = (V, E)$ , find the *strongly connected components*.

Another order of DFS

- $DFS(a)$
- ~~$DFS(f)$~~
- ~~$DFS(h)$~~
- ~~$DFS(a)$~~
- ~~$DFS(d)$~~
- ~~$DFS(c)$~~
- ~~$DFS(b)$~~
- ~~$DFS(e)$~~

The order matters!



# Strongly Connected Components

Observe each components as a whole and decide the order

**Definition (component graph):** Given a  $G$  with strongly connected components  $C_1, C_2, \dots, C_k$ , its component graph  $G^{SCC} = (V^{SCC}, E^{SCC})$  represents the connection between components.

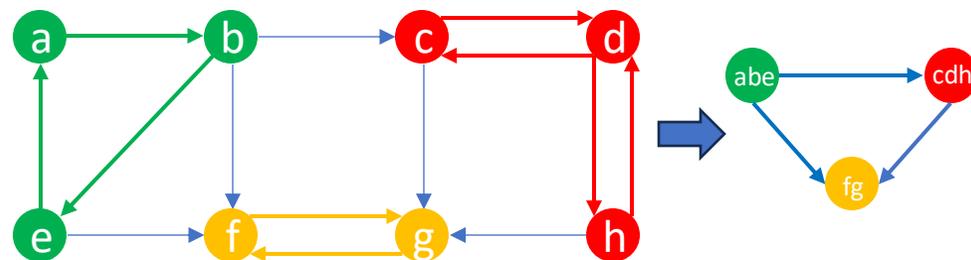
Specifically,  $V^{SCC} = \{v_1, v_2, \dots, v_k\}$  and  $v_i$  represents  $C_i$ . There is an edge  $(v_i, v_j) \in E^{SCC}$  if there is  $\langle x \in C_i, y \in C_j \rangle \in E$

**Key property:** a component graph is a Directed Acyclic Graph

- Proof: obviously, a cycle will make all nodes in the two components reach each other.

These two components are no longer strongly connected maximized subgraphs

**Intuition:** Decide the order of DFS with topological sort on the component graph



## Strongly Connected Components

Notation (for a set of vertices  $U \subseteq V$ ):  $d(U) = \min_{u \in U} u.d$  and  $f(U) = \max_{u \in U} u.f$ .

**Lemma:** Let  $C_i$  and  $C_j$  be distinct strongly connected components in directed graph  $G = (V, E)$ . If there is an edge  $\langle u \in C_i, v \in C_j \rangle \in E$ . Then  $f(C_i) > f(C_j)$ .

Proof:

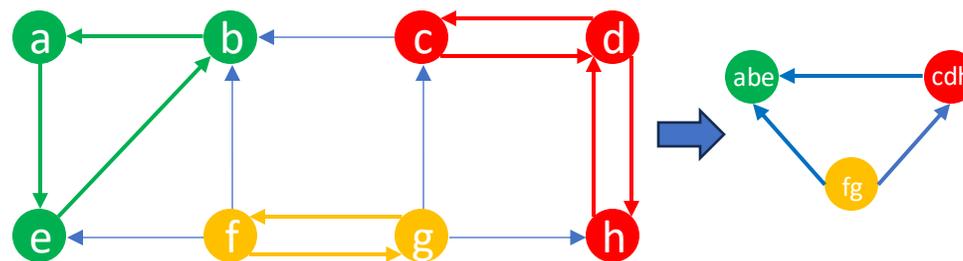
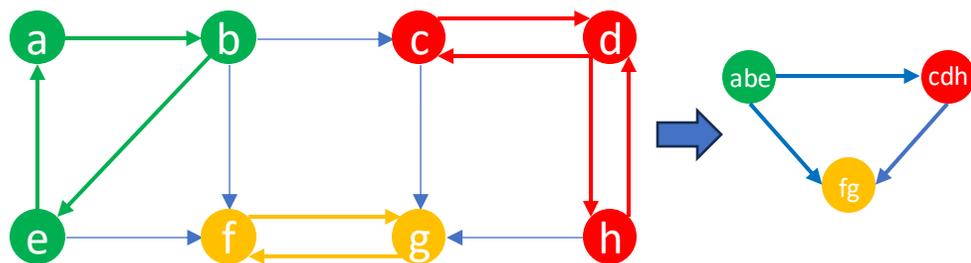
- If  $d(C_i) < d(C_j)$ :  $C_i$  is first discovered in dfs, meaning nodes in  $C_j$  are descendants of a node in  $C_i$  (denoted as  $x$ , possibly  $u$ ). Since descendants finish earlier than ancestors,  $f(C_j) = \max_{y \in C_j} y.f < x.f \leq f(C_i)$ .
- If  $d(C_i) > d(C_j)$ : since  $C_i$  and  $C_j$  are distinct strongly connected components, with edge  $\langle u, v \rangle$  pointing from  $C_i$  to  $C_j$ , there cannot be a path pointing from  $C_j$  to  $C_i$ . Therefore, nodes in  $C_i$  are not reachable during DFS in  $C_j$ . i.e.,  $C_j$  finishes before  $C_i$  is discovered.  $f(C_j) < d(C_i) < f(C_i)$

Sort components in the finish time decreasing order produces a topological sort

# Strongly Connected Components

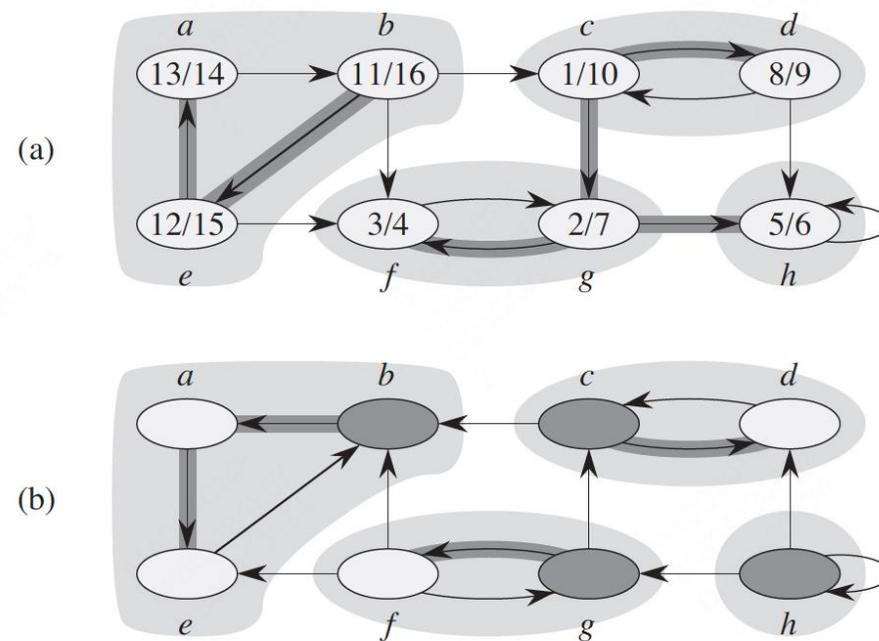
Sort components in the finish time decreasing order to produce a topological sort

- Problem: composition nodes of each component are unknown to us
- Observation: the **last** finished node belongs to the **last** finished component
  - Find and delete the nodes in this component.
  - The next last finished nodes help finding the second last finished component
- Still a problem: the last ended component can reach other components
- Solution: reverse all the edges!

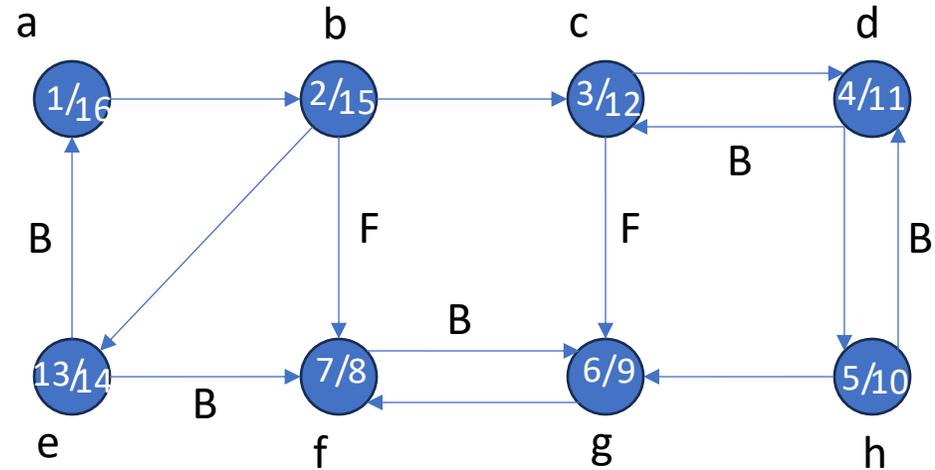


# Kosaraju Algorithm

1. DFS in any order to find the finishing time of all the nodes
2. Transpose the graph
3. DFS in finishing time decreasing order



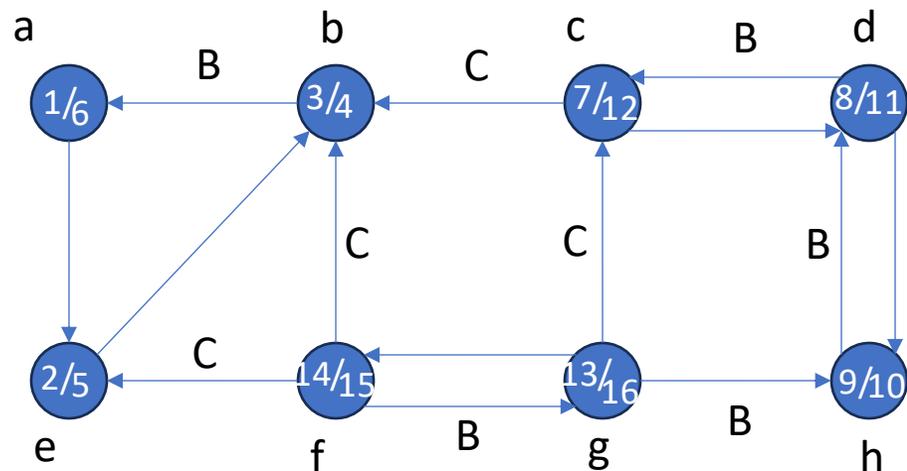
# Kosaraju Algorithm: Example



Finishing time decreasing order: a b e c d h g f

# Kosaraju Algorithm: Example

Transpose the graph



Finishing time decreasing order: a b e c d h g f

Component 1: a b e

Component 2: c d h

Component 3: g h

# Thank you!

AIAA 5037 Advanced Algorithms and Data Structures